Docket No.

239656US3/phh

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Sentaro SUGITA

SERIAL NO: 10/603,858 GAU: 3679

**EXAMINER:** FILED: June 26, 2003 FOR: BALL SCREW DEVICE AND METHOD OF MANUFACTURING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

C. Irvin McClelland

Registration No. 21,124 Joseph A. Scafetta, Jr.

Registration No. 26,803

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

оп 3 0 2003 Д SHEET 1 OF

1

RADEMARK ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 239656US3 10/603,858 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Sentaro SUGITA FILING DATE **GROUP** 3679 June 26, 2003 **U.S. PATENT DOCUMENTS** FILING DATE SUB **EXAMINER** DOCUMENT **CLASS** DATE NAME IF APPROPRIATE CLASS INITIAL NUMBER AAAB AC AD ΑE AF AG ΑH ΑI ΑJ AK AL AM ΑN **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT COUNTRY DATE NUMBER NO YES Х 10/05/1999 JAPAN (with English Abstract) 11-270647 AO AP AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX AY ΑZ Additional References sheet(s) attached **Date Considered** Examiner \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.